# Pediatric HIV/AIDS Cohort Study (PHACS) Surveillance Monitoring of ART Toxicities (SMARTT) Study Annual Administrative Report

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## Tables:

| Table 1: SMARTT - Study Enrollment by Cohort and Quarter                                | 7   |
|-----------------------------------------------------------------------------------------|-----|
| Table 2: SMARTT - Study Enrollment by Site                                              | 8   |
| Table 3: SMARTT - Enrollment by Previous Protocol Participation (Static cohort only)    | 9   |
| Table 4: SMARTT - Enrollment Demographics1                                              | 0   |
| Table 5: SMARTT - Baseline Maternal Pregnancy and Delivery History Characteristics1     | 1   |
| Table 6: SMARTT - Study Status1                                                         | 2   |
| Table 7: SMARTT - Age at Last Scheduled Clinic Visit Among Participants Still on Study1 | 2   |
| Table 8: SMARTT - Entry Visit Status1                                                   | 3   |
| Table 9: Bayley Screen for Three Year-Olds1                                             | 4   |
| Table 10: Age-specific ND Assessments1                                                  | 5   |
| Table 11: SMARTT Caregiver-Reported Hearing Information at Entry1                       | 6   |
| Table 12: SMARTT Age-Specific Language Assessments1                                     | 7   |
| Table 13: SMARTT Growth Data at Entry1                                                  | 7   |
| Table 14: Maternal Substance Use During Pregnancy in SMARTT Dynamic Cohort1             | 8   |
| Table 15: Maternal Substance Use During Pregnancy in SMARTT Static Cohort2              | 20  |
| Table 16: Maternal ARV Exposure by Birth Year, Static and Dynamic Cohorts Combined2     | 2:2 |
|                                                                                         |     |

# Figures:

| Figure 1: In Utero NRTI Exposures by Year of Birth                | 23 |
|-------------------------------------------------------------------|----|
| Figure 2: In Utero NNRTI Exposures by Year of Birth               | 23 |
| Figure 3: In Utero PI Exposures by Year of Birth                  | 24 |
| Figure 4: In Utero FI/II Exposures by Year of Birth               | 24 |
| Figure 5: Five Most Common in Utero ARV Regimens by Year of Birth | 25 |

### **Summary**

As of April 1, 2019, 4515 children and 3442 caregivers enrolled in SMARTT (Table 1). As shown in the table, there were 3036 children in the dynamic cohort and 1240 in the static cohort (enrollment for the static cohort was closed on July 31, 2009). Starting in March 2010, a cohort of HIV-unexposed, uninfected children was enrolled to serve as a reference group; the enrollment target was 50 each from four specific age groups (1, 3, 5, and 9 years old). After enrollment into the reference cohort began, echocardiograms were added to the schedule of evaluations for 3- and 5-year olds. In order to ensure a sufficient number of echocardiograms, 35 additional children from the 3- and 5-year old groups were enrolled into a modified reference cohort. A total of 239 children were enrolled in the reference cohort and evaluations are now complete. These children completed a limited set of evaluations including echocardiograms.

Enrollment by site is summarized in <u>Table 2</u>. For the static cohort, most children (79%) previously participated in a PACTG/IMPAACT protocol: 24% in P1025 only; 23% in 219/219C; 13% in WITS only; 7% in 219/219C and WITS; 6% in 219C and P1025; 2% in 219C, P1025 and WITS (<u>Table 3</u>). Approximately half of the children enrolled were male (<u>Table 4</u>). Most children were Black or African American (66%), and 30% were of Hispanic ethnicity. The median age at enrollment of children in the static cohort was 4.1 years. The numbers of children enrolled in the different age groups of the reference cohort are: 52 one-year olds; 67 three-year olds; 71 five-year olds and 49 nine-year olds.

As shown in Table 5, 75% of infants were born full-term (≥ 37 weeks gestation) and the majority weighed at least 2500 g (79%). Forty-two percent of infants delivered by spontaneous vaginal delivery, 38% delivered by C-section before labor/membrane rupture and 13% delivered by C-section after labor/membrane rupture. [Note that the dynamic cohort allows study entry during gestation; thus birth characteristics may not yet be available]. Of the children enrolled in the dynamic and static cohorts, 82% and 61% children are still on study as of the last data retrieval date, respectively; 424 (14%) dynamic and 258 (21%) static children were withdrawn / lost to follow-up; and 56 (2%) dynamic and 52 (4%) static children were off study because of site closure (Table 6). A total of 37 children were off study because of death: 14 cases of intrauterine fetal demise, 4 of SIDS, 2 of complication from extensive burn, 1 of acute lymphoblastic leukemia, 1 of complication of Trisomy 21, 1 of motor vehicle accident, 2 of extreme prematurity, 1 of septic shock, 1 secondary to gunshot wound, 1 cardiac arrest, 1 chromosomal microdeletion syndrome, 1 drowning, 1 congenital heart disease, 1 glioblastoma, and 5 undetermined. Twelve dynamic children have gone off study due to HIV infection. Among dynamic and static children who were still on study, the median age at the last scheduled clinic visit were 5.0 and 13.3 years, respectively (Table 7). Overall, 97% of the children enrolled completed the entry visit and 88% of visits were done on time or early (Table 8). Seven children missed the entry visit and 94 (2%) children dropped out of the study before the first study visit.

Table 9-Table 13 contain some initial summaries of key measures across domains of interest for SMARTT, including cognitive assessments (Table 9-Table 10), hearing and language assessments (Table 11-Table 12), and growth data (Table 13).

Table 9 summarizes the results of the Bayley Screen for three-year olds. Of the participants assessed, 34-56% of the dynamic cohort, 31-53% of the static cohort and 16-49% of the reference cohort were at risk (at risk/some risk) of developmental delay across the different sub-domains, with the highest percentage for cognitive delay and lowest percentage for gross motor delay. Overall, at least 55 participants were identified to require further evaluation for delay in at least one of the assessed skills categories.

Neurodevelopment assessments for other ages are summarized in <u>Table 10</u>, including the Full Bayley-III (1-year olds), WPPSI (5-year olds), WASI and WIAT for 7 years and older (performed at age-specific visits according to protocol), and BRIEF-SR for those 11 years and older (performed at age-specific visits according to protocol). The normative scores for the Bayley -III, WPPSI, WASI and WIAT have a mean of 100 and a standard deviation of 15. The normative scores for the BRIEF have a mean of 50 and a standard deviation of 10. As shown in the table, the mean scores across different neurodevelopment batteries were mostly within 5 – 10 points of the general population norms.

Information related to caregiver-reported hearing information is summarized in <u>Table 11</u>. Among participants who submitted a demographic form at entry visit, 27% of children (26% of dynamic cohort, 29% of static cohort and 21% of reference cohort) had an audiologic exam before enrolling in the study, of whom 8 (1 from dynamic and 7 from the static cohort) were identified with permanent hearing loss in 1 or 2 ears. Summary measures for the age-specific language assessments are provided in <u>Table 12</u>, including the MacArthur CDI (1-year olds), Ages and Stages Questionnaire (ASQ: 2-year olds), TELD (3-year olds), and TOLD (5-year olds). The normative scores are 50<sup>th</sup> percentile for the CDI, and 100 (standard deviation: 15) for TELD and TOLD. The general population means are age specific for the ASQ. As shown in the table, the mean scores of MacArthur CDI, TELD and TOLD were mostly within 5 – 10 points of the general population; the means of ASQ were 44 for dynamic and 45 for static children.

The growth measurements in <u>Table 13</u> suggest that infants enrolled in the dynamic cohort were below average length and weight at birth, while those in the static cohort and reference cohort were above average height/length and weight for their ages at the time of study entry. The mean BMI (≥ 2 years old) for the static cohort and reference cohort were also higher than average.

<u>Table 14</u> and <u>Table 15</u> summarize self-reported maternal substance use during pregnancy by trimester of pregnancy, for the dynamic and static cohort, respectively. Calculations are based on the number of children with maternal substance use data available. Overall, 844 (30%) mothers in the dynamic cohort and 274 (26%) mothers in the static cohort reported some substance use (licit or illicit) at some point

during their pregnancy. Substance use was highest during the first trimester and then decreased; cigarette smoking (18% of dynamic cohort; 19% of static cohort) was the most frequently reported substance, followed by alcohol (9% of dynamic cohort; 7% of static cohort) and marijuana (9% dynamic cohort; 6% of static cohort).

In utero ARV exposure by birth year for the static and dynamic cohorts combined is summarized in <u>Table 16</u>. Overall, of the 4081 children who completed a scheduled study visit, 3921 (96%) were exposed in utero to an ARV; 47 (1%) were exposed to ARV during labor and delivery only; while 36 (1%) were not exposed to any ARV during pregnancy, labor, or delivery. For 36% of the children, their mother received ARVs at conception.

Figure 1 - Figure 4 show the trends of in utero ARV exposure by year of birth, for each ARV class and the individual agents within each class. Calculations are based on the number of children with in utero ARV exposure data available. Prenatal exposure to NRTI-containing regimens remained very high (nearly 100%) across all birth years (Figure 1). There was a decreasing trend in zidovudine (ZDV) exposure over time (from 100% in 1995 to about 10% in 2018); lamivudine (3TC) exposure increased dramatically from about 5% in 1996 to more than 90% in 2000 and fluctuated around this percentage until 2005, then decreased thereafter with a similar trend as ZDV; abacavir (ABC) became a common NRTI agent received during pregnancy after 2001, with use decreasing after 2007 and fluctuating around 10% since 2012; tenofovir disoproxil fumarate (TDF) use increased from about 3% in 2002 to about 79% in 2015, then decreased thereafter with a simultaneously increased use in tenofovir alafenamide fumarate (TAF) (from 6% in 2016 to 46% in 2018); emtricitabine (FTC) use increased steadily from about 1% in 2004 to about 88% in 2018; in addition, TDF and FTC surpassed ZDV and 3TC as the most commonly used NRTI agents in 2012. The use of NNRTI-containing regimens increased from about 5% in 1996 to more than 30% in 2003, then decreased to about 10% between 2008 and 2011 and then increased again to about 34% in 2015 (Figure 2). Nevirapine (NPV) was the most commonly used NNRTI agent before 2009, with use increasing between 1996 and 2003, and decreasing since then (below 1% exposure in 2018); the use of efavirenz (EFV) was consistently low (less than 10%); the use of rilpivirine (RPV) increased since 2012 and became the most commonly used NNRTI agent (increased from 4% in 2012 to about 30% in 2015, and decreased a little since then). Starting in 1997, the use of PI-containing regimens increased to about 86% in 2010, and has decreased since then (Figure 3). Nelfinavir (NFV) was initially the most commonly-used PI, but in 2007 it was surpassed by use of ritonavir-boosted other Pls, and that trend continues. Lopinavir/r exposure increased between 2001 and 2009 and has decreased since then. There was also an increasing trend in the use of atazanavir between 2004 and 2013; use has decreased since then. The use of darunavir (DRV) increased from below 1% in 2007 to about 20% in 2018. The first reported use of a fusion inhibitor (FI) was in 2006 and of an integrase inhibitor (II) was in 2008, and the use of an II agent increased consistently from about 1% in 2008 to 57% in 2018 (Figure 4); use of the FIs enfurvirtide (ENF) and maraviroc (MVC) have remained low; use of the II raltegravir (RAL)

increased from about 1% in 2008 to about 15% in 2013, and then decreased to about 10% in 2018; use of elvitegravir (EVG) paired with cobicistat (a non-ARV booster for EVG), and in combination with FTC and TDF (as Stribild) emerged in 2013 (or in combination with FTC and TAF as Genvoya since 2016) and increased from 1% to about 22% in 2017, although the use was decreased in 2018; dolutegravir (DTG) use was first reported in 2014 at about 2% and increased to about 30% in 2018. The most commonly-used regimen has changed over time; ZDV monotherapy was the most commonly-used regimen before 1999, then ZDV+3TC in 1999, ZDV+3TC+NFV between 2000 and 2007, and ZDV+3TC+lopinavir/r after 2007 until 2014 when TDF+FTC+boosted ATV became the most frequently used regimen in 2014 and 2018, and TDF+FTC+RPV from 2015 to 2017 (Figure 5).

The median duration of follow-up in SMARTT was 124 months among the static and 62 months among the dynamic participants. The follow-up time is based on the assumption that a participant is still on study as of the last data retrieval date unless known to have discontinued. It is not based on their last study visit.

### **Tables and Figures**

Table 1: SMARTT - Study Enrollment by Cohort and Quarter

|                    |         | Caregiver (N=3442) | Dynamic (N=3036) | Static<br>(N=1240) | Reference<br>(N=239) |
|--------------------|---------|--------------------|------------------|--------------------|----------------------|
| Enrollment quarter | 2007 Q1 | 4 (0%)             | 0 (0%)           | 5 (0%)             | 0 (0%)               |
|                    | 2007 Q2 | 167 (5%)           | 28 (1%)          | 185 (15%)          | 0 (0%)               |
|                    | 2007 Q3 | 204 (6%)           | 51 (2%)          | 227 (18%)          | 0 (0%)               |
|                    | 2007 Q4 | 145 (4%)           | 51 (2%)          | 127 (10%)          | 0 (0%)               |
|                    | 2008 Q1 | 183 (5%)           | 79 (3%)          | 149 (12%)          | 0 (0%)               |
|                    | 2008 Q2 | 142 (4%)           | 77 (3%)          | 95 (8%)            | 0 (0%)               |
|                    | 2008 Q3 | 130 (4%)           | 79 (3%)          | 84 (7%)            | 0 (0%)               |
|                    | 2008 Q4 | 126 (4%)           | 80 (3%)          | 106 (9%)           | 0 (0%)               |
|                    | 2009 Q1 | 127 (4%)           | 89 (3%)          | 90 (7%)            | 0 (0%)               |
|                    | 2009 Q2 | 128 (4%)           | 79 (3%)          | 109 (9%)           | 0 (0%)               |
|                    | 2009 Q3 | 113 (3%)           | 81 (3%)          | 63 (5%)            | 0 (0%)               |
|                    | 2009 Q4 | 65 (2%)            | 78 (3%)          | 0 (0%)             | 0 (0%)               |
|                    | 2010 Q1 | 56 (2%)            | 61 (2%)          | 0 (0%)             | 2 (1%)               |
|                    | 2010 Q2 | 73 (2%)            | 73 (2%)          | 0 (0%)             | 8 (3%)               |
|                    | 2010 Q3 | 82 (2%)            | 72 (2%)          | 0 (0%)             | 30 (13%)             |
|                    | 2010 Q4 | 82 (2%)            | 61 (2%)          | 0 (0%)             | 38 (16%)             |
|                    | 2011 Q1 | 90 (3%)            | 74 (2%)          | 0 (0%)             | 41 (17%)             |
|                    | 2011 Q2 | 67 (2%)            | 63 (2%)          | 0 (0%)             | 25 (10%)             |
|                    | 2011 Q3 | 83 (2%)            | 61 (2%)          | 0 (0%)             | 52 (22%)             |
|                    | 2011 Q4 | 58 (2%)            | 65 (2%)          | 0 (0%)             | 5 (2%)               |
|                    | 2012 Q1 | 56 (2%)            | 57 (2%)          | 0 (0%)             | 10 (4%)              |
|                    | 2012 Q2 | 66 (2%)            | 74 (2%)          | 0 (0%)             | 12 (5%)              |
|                    | 2012 Q3 | 49 (1%)            | 56 (2%)          | 0 (0%)             | 7 (3%)               |
|                    | 2012 Q4 | 43 (1%)            | 49 (2%)          | 0 (0%)             | 6 (3%)               |
|                    | 2013 Q1 | 50 (1%)            | 64 (2%)          | 0 (0%)             | 3 (1%)               |
|                    | 2013 Q2 | 58 (2%)            | 73 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2013 Q3 | 61 (2%)            | 75 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2013 Q4 | 53 (2%)            | 67 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2014 Q1 | 54 (2%)            | 65 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2014 Q2 | 47 (1%)            | 61 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2014 Q3 | 43 (1%)            | 58 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2014 Q4 | 43 (1%)            | 60 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2015 Q1 | 51 (1%)            | 64 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2015 Q2 | 46 (1%)            | 62 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2015 Q3 | 52 (2%)            | 68 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2015 Q4 | 44 (1%)            | 77 (3%)          | 0 (0%)             | 0 (0%)               |
|                    | 2016 Q1 | 40 (1%)            | 56 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2016 Q2 | 38 (1%)            | 51 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2016 Q3 | 42 (1%)            | 57 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2016 Q4 | 33 (1%)            | 46 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2017 Q1 | 42 (1%)            | 67 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2017 Q2 | 37 (1%)            | 56 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2017 Q3 | 35 (1%)            | 42 (1%)          | 0 (0%)             | 0 (0%)               |
|                    | 2017 Q4 | 32 (1%)            | 49 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2018 Q1 | 38 (1%)            | 54 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2018 Q2 | 51 (1%)            | 67 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2018 Q3 | 36 (1%)            | 48 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2018 Q4 | 31 (1%)            | 49 (2%)          | 0 (0%)             | 0 (0%)               |
|                    | 2019 Q1 | 46 (1%)            | 62 (2%)          | 0 (0%)             | 0 (0%)               |

Reference cohort started enrolling in Q1 of 2010 Created by: /home/phacs/actgPH100/monitoring/programs/qad.sas on April 1, 2019

Table 2: SMARTT - Study Enrollment by Site

|                                          |                   |                     | Cohort             |                      |
|------------------------------------------|-------------------|---------------------|--------------------|----------------------|
|                                          | Total<br>(N=4515) | Dynamic<br>(N=3036) | Static<br>(N=1240) | Reference<br>(N=239) |
| Enrollment site NYU MEDICAL CTR/BELLEVUE | 141 (3%)          | 83 (3%)             | 58 (5%)            | 0 (0%)               |
| UNIVERSITY OF ALABAMA AT BIRMINGHAM *    | 215 (5%)          | 162 (5%)            | 29 (2%)            | 24 (10%)             |
| UNIVERSITY OF SOUTHERN CALIFORNIA        | 386 (9%)          | 302 (10%)           | 84 (7%)            | 0 (0%)               |
| SAN JUAN RESEARCH HOSPITAL               | 139 (3%)          | 78 (3%)             | 61 (5%)            | 0 (0%)               |
| ST. JUDE CHILDREN'S RESEARCH HOSPITAL *  | 338 (7%)          | 270 (9%)            | 44 (4%)            | 24 (10%)             |
| SUNY DOWNSTATE MEDICAL CENTER *          | 274 (6%)          | 133 (4%)            | 119 (10%)          | 22 (9%)              |
| UNIV OF COLORADO HEALTH SCIENCES CENTER  | 237 (5%)          | 173 (6%)            | 64 (5%)            | 0 (0%)               |
| NEW JERSEY MEDICAL CENTER                | 120 (3%)          | 112 (4%)            | 8 (1%)             | 0 (0%)               |
| SUNY STONY BROOK MEDICAL CENTER          | 25 (1%)           | 8 (0%)              | 17 (1%)            | 0 (0%)               |
| CHILDREN'S DIAG AND TREAT CTR (S FLOR) * | 157 (3%)          | 109 (4%)            | 22 (2%)            | 26 (11%)             |
| BRONX/LEBANON HOSPITAL CENTER *          | 462 (10%)         | 284 (9%)            | 137 (11%)          | 41 (17%)             |
| UNIVERSITY OF FLORIDA HEALTH SCIENCE CTR | 306 (7%)          | 208 (7%)            | 98 (8%)            | 0 (0%)               |
| UNIVERSITY OF ILLINOIS (CHICAGO) *       | 241 (5%)          | 132 (4%)            | 88 (7%)            | 21 (9%)              |
| UNIVERSITY OF PUERTO RICO MEDICAL CENTER | 229 (5%)          | 139 (5%)            | 90 (7%)            | 0 (0%)               |
| CHILDREN'S HOSPITAL OF PHILADELPHIA      | 27 (1%)           | 20 (1%)             | 7 (1%)             | 0 (0%)               |
| UNIVERSITY OF MIAMI *                    | 411 (9%)          | 332 (11%)           | 40 (3%)            | 39 (16%)             |
| TEXAS CHILDREN'S HOSPITAL (BAYLOR) *     | 263 (6%)          | 157 (5%)            | 82 (7%)            | 24 (10%)             |
| UNIVERSITY HEALTH SCIENCE CTR (TULANE) * | 122 (3%)          | 93 (3%)             | 11 (1%)            | 18 (8%)              |
| UCSD MEDICAL CENTER                      | 115 (3%)          | 77 (3%)             | 38 (3%)            | 0 (0%)               |
| UNIVERSITY OF MARYLAND MED CTR           | 42 (1%)           | 24 (1%)             | 18 (1%)            | 0 (0%)               |
| JACOBI MEDICAL CENTER                    | 35 (1%)           | 12 (0%)             | 23 (2%)            | 0 (0%)               |
| CHILDREN'S MEMORIAL HOSPITAL OF CHICAGO  | 230 (5%)          | 128 (4%)            | 102 (8%)           | 0 (0%)               |

Sites with \* enroll reference cohort Created by: /home/phacs/actgPH100/monitoring/programs/qad.sas on April 1, 2019

Table 3: SMARTT - Enrollment by Previous Protocol Participation (Static cohort only)

| Protocol group       | Studies               | Static<br>(N=1240) |
|----------------------|-----------------------|--------------------|
| No Previous Protocol | None                  | 264 ( 21%)         |
| 219/219C/P1025       | P1025+NATURAL HISTORY | 1 ( 0%)            |
|                      | P1025                 | 293 ( 24%)         |
|                      | 219C+P1025            | 75 ( 6%)           |
|                      | 219/219C              | 291 ( 23%)         |
|                      | 219                   | 2 ( 0%)            |
|                      | Total                 | 662 ( 53%)         |
| WITS Only            | WITS                  | 159 ( 13%)         |
| 219/219C/P1025+WITS  | P1025+WITS            | 5 ( 0%)            |
|                      | 219C+P1025+WITS       | 20 ( 2%)           |
|                      | 219/219C+WITS         | 90 ( 7%)           |
|                      | Total                 | 115 ( 9%)          |
| Other PACTG/IMPAACT  | PACTG 1039            | 3 ( 0%)            |
|                      | PACTG 1025            | 1 ( 0%)            |
|                      | PACTG 1022            | 1 ( 0%)            |
|                      | ACTG A5084            | 2 ( 0%)            |
|                      | ACTG 394              | 1 ( 0%)            |
|                      | ACTG 367              | 1 ( 0%)            |
|                      | ACTG 354              | 1 ( 0%)            |
|                      | ACTG 353              | 2 ( 0%)            |
|                      | ACTG 326              | 2 ( 0%)            |
|                      | ACTG 316/247          | 1 ( 0%)            |
|                      | ACTG 316              | 7 ( 1%)            |
|                      | ACTG 247              | 1 ( 0%)            |
|                      | Total                 | 23 ( 2%)           |
| Other                | PACTG 386             | 1 ( 0%)            |
|                      | NATURAL HISTORY       | 15 ( 1%)           |
|                      | CHS                   | 1 ( 0%)            |
|                      | Total                 | 17 ( 1%)           |

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Above table summarizes enrollment in previous studies based on the information provided at PHACS enrollment. 219/219C, P1025, and WITS enrollment information was captured for all static participants. However, enrollment information for other studies was only captured at PHACS enrollment for participants who did not participate in 219/219C, P1025, or WITS. Children in the dynamic cohort may also be enrolled in other studies (e.g. P1025).

**Table 4: SMARTT - Enrollment Demographics** 

|                          |                                           | Total<br>(N=4515) | Dynamic<br>(N=3036) | Static<br>(N=1240) | Reference<br>(N=239) |
|--------------------------|-------------------------------------------|-------------------|---------------------|--------------------|----------------------|
| Sex                      | Male                                      | 2,281 (51%)       | 1,519 (50%)         | 643 (52%)          | 119 (50%)            |
|                          | Female                                    | 2,134 (47%)       | 1,417 (47%)         | 597 (48%)          | 120 (50%)            |
|                          | Missing - Form not submitted              | 100 (2%)          | 100 (3%)            | 0 (0%)             | 0 (0%)               |
| Age* at enrollment (yrs) | Median (Q1, Q3)                           | 4.1 (2.0, 7.0)    | . (., .)            | 4.1 (2.0, 7.0)     | 4.7 (2.8, 5.2)       |
|                          | Birth, <1**                               | 3,065 (68%)       | 3,036 (100%)        | 29 (2%)            | 0 (0%)               |
|                          | 1-2                                       | 404 (9%)          | 0 (0%)              | 352 (28%)          | 52 (22%)             |
|                          | 3-4                                       | 358 (8%)          | 0 (0%)              | 291 (23%)          | 67 (28%)             |
|                          | 5-6                                       | 277 (6%)          | 0 (0%)              | 206 (17%)          | 71 (30%)             |
|                          | 7-12                                      | 411 (9%)          | 0 (0%)              | 362 (29%)          | 49 (21%)             |
| Race                     | Asian                                     | 22 (0%)           | 11 (0%)             | 7 (1%)             | 4 (2%)               |
|                          | Native Hawaiian or other Pacific Islander | 4 (0%)            | 3 (0%)              | 1 (0%)             | 0 (0%)               |
|                          | Black or African American                 | 2,967 (66%)       | 2,032 (67%)         | 765 (62%)          | 170 (71%)            |
|                          | White                                     | 1,163 (26%)       | 754 (25%)           | 350 (28%)          | 59 (25%)             |
|                          | American Indian                           | 7 (0%)            | 6 (0%)              | 1 (0%)             | 0 (0%)               |
|                          | More than One Race                        | 130 (3%)          | 107 (4%)            | 23 (2%)            | 0 (0%)               |
|                          | Participant does not want to report       | 9 (0%)            | 4 (0%)              | 4 (0%)             | 1 (0%)               |
|                          | Participant does not know                 | 90 (2%)           | 54 (2%)             | 32 (3%)            | 4 (2%)               |
|                          | Race not available to clinic              | 123 (3%)          | 65 (2%)             | 57 (5%)            | 1 (0%)               |
| Ethnicity                | Hispanic or Latino                        | 1,357 (30%)       | 871 (29%)           | 432 (35%)          | 54 (23%)             |
|                          | Not Hispanic or Latino                    | 3,148 (70%)       | 2,159 (71%)         | 806 (65%)          | 183 (77%)            |
|                          | More than one ethnicity                   | 3 (0%)            | 3 (0%)              | 0 (0%)             | 0 (0%)               |
|                          | Participant does not want to report       | 1 (0%)            | 0 (0%)              | 1 (0%)             | 0 (0%)               |
|                          | Participant does not know                 | 4 (0%)            | 2 (0%)              | 1 (0%)             | 1 (0%)               |
|                          | Ethnicity not available to clinic         | 2 (0%)            | 1 (0%)              | 0 (0%)             | 1 (0%)               |

\*Age is rounded to the nearest year. So a child who enrolled at 4 years 11 months is in the 5-6 year group.

Age at enrollment for reference cohort is 1, 3, 5 or 9 years old

\*\* Birth is for dynamic, <1 for static participants

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Table 5: SMARTT - Baseline Maternal Pregnancy and Delivery History Characteristics

|                                    |                                         |             |             | Cohort      |            |
|------------------------------------|-----------------------------------------|-------------|-------------|-------------|------------|
|                                    |                                         | Total       | Dynamic     | Static      | Reference  |
| Characteristic                     |                                         | (N=4515)    | (N=3036)    | (N=1240)    | (N=239)    |
| Gestational Age (weeks) at Birth * | < 32                                    | 95 (2%)     | 59 (2%)     | 31 (3%)     | 5 (2%)     |
|                                    | 32 - < 37                               | 688 (15%)   | 457 (15%)   | 206 (17%)   | 25 (10%)   |
|                                    | ≥ 37                                    | 3,399 (75%) | 2,359 (78%) | 860 (69%)   | 180 (75%)  |
|                                    | Unknown                                 | 333 (7%)    | 161 (5%)    | 143 (12%)   | 29 (12%)   |
| Weight at Birth                    | < 2500 g                                | 740 (16%)   | 484 (16%)   | 219 (18%)   | 37 (15%)   |
|                                    | ≥ 2500 g                                | 3,553 (79%) | 2,403 (79%) | 959 (77%)   | 191 (80%)  |
|                                    | Unknown                                 | 222 (5%)    | 149 (5%)    | 62 (5%)     | 11 (5%)    |
| Mode of Delivery                   | Spontaneous Vaginal                     | 1,903 (42%) | 1,199 (39%) | 556 (45%)   | 148 (62%)  |
|                                    | Assisted Vaginal (Forceps, Vacuum)      | 74 (2%)     | 34 (1%)     | 25 (2%)     | 15 (6%)    |
|                                    | C-Section Before Labor/Membrane Rupture | 1,725 (38%) | 1,239 (41%) | 449 (36%)   | 37 (15%)   |
|                                    | C-Section After Labor/Membrane Rupture  | 569 (13%)   | 409 (13%)   | 132 (11%)   | 28 (12%)   |
|                                    | Unknown Delivery Mode                   | 244 (5%)    | 155 (5%)    | 78 (6%)     | 11 (5%)    |
| Apgar Score at One Minute          | < 7                                     | 389 (9%)    | 297 (10%)   | 92 (7%)     | 0 (0%)     |
|                                    | ≥ 7                                     | 3,504 (78%) | 2,549 (84%) | 955 (77%)   | 0 (0%)     |
|                                    | Unknown                                 | 622 (14%)   | 190 (6%)    | 193 (16%)   | 239 (100%) |
| Apgar Score at Minute Five         | <7                                      | 86 (2%)     | 69 (2%)     | 17 (1%)     | 0 (0%)     |
|                                    | ≥ 7                                     | 3,811 (84%) | 2,780 (92%) | 1,031 (83%) | 0 (0%)     |
|                                    | Unknown                                 | 618 (14%)   | 187 (6%)    | 192 (15%)   | 239 (100%) |

<sup>\*</sup> Gestational age is first based on obstetrical gestational age data and when not available, then pediatric gestational age.

Missing data are due to either no pregnancy history forms submitted or submission of incomplete form.

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Table 6: SMARTT - Study Status

|                                         | Total<br>(N=4515) | Dynamic<br>(N=3036) | Static<br>(N=1240) | Reference<br>(N=239) |
|-----------------------------------------|-------------------|---------------------|--------------------|----------------------|
| Study status Still on study             | 3,255 (72%)       | 2,500 (82%)         | 754 (61%)          | 0 (0%)               |
| Completed study                         | 398 (9%)          | 0 (0%)              | 167 (13%)          | 231 (97%)            |
| Enrollment error / eligibility failure* | 15 (0%)           | 13 (0%)             | 2 (0%)             | 0 (0%)               |
| Death of participant/parent/guardian    | 37 (1%)           | 34 (1%)             | 3 (0%)             | 0 (0%)               |
| Withdrawal / loss to follow-up          | 689 (15%)         | 424 (14%)           | 258 (21%)          | 7 (3%)               |
| Site closure                            | 108 (2%)          | 56 (2%)             | 52 (4%)            | 0 (0%)               |
| Other reason                            | 13 (0%)           | 9 (0%)              | 4 (0%)             | 1 (0%)               |

<sup>\* 12</sup> Dynamic children in the Enrollment error/eligibility failure category are HIV-infected Created by: /home/phacs/actgPH100/monitoring/programs/qad.sas on April 1, 2019

Table 7: SMARTT - Age at Last Scheduled Clinic Visit Among Participants Still on Study

|                                              |                   |                   | Co                  | hort              |
|----------------------------------------------|-------------------|-------------------|---------------------|-------------------|
| Characteristic                               |                   | Total<br>(N=3254) | Dynamic<br>(N=2500) | Static<br>(N=754) |
| Age (years) at Last Scheduled Clinical Visit | Median (Min, Max) | 6.9 (0, 22)       | 5.0 (0.0, 11.5)     | 13.3 (8.8, 22.0)  |
|                                              | 0                 | 276 (8%)          | 276 (11%)           | 0 (0%)            |
|                                              | 1-2               | 417 (13%)         | 417 (17%)           | 0 (0%)            |
|                                              | 3-4               | 465 (14%)         | 465 (19%)           | 0 (0%)            |
|                                              | 5-6               | 431 (13%)         | 431 (17%)           | 0 (0%)            |
|                                              | 7-12              | 1,142 (35%)       | 911 (36%)           | 231 (31%)         |
|                                              | 13-15             | 356 (11%)         | 0 (0%)              | 356 (47%)         |
|                                              | >15               | 167 (5%)          | 0 (0%)              | 167 (22%)         |

<sup>\*</sup> For participants who did not attend the scheduled study visits, the scheduled clinical visit date was used to calculate the age. For dynamic participants not yet born or just born and study visit form not submitted by the time of data retrieval, the age was set as 0 in above table.

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Table 8: SMARTT - Entry Visit Status

|                   |             | Cohort      |             |            |  |  |  |
|-------------------|-------------|-------------|-------------|------------|--|--|--|
| Visit status      | Total       | Dynamic     | Static      | Reference  |  |  |  |
| Visit reported    | 4358 ( 97%) | 2922 ( 96%) | 1200 ( 97%) | 236 ( 99%) |  |  |  |
| Early             | 28 ( 1%)    | 3 ( 0%)     | 21 ( 2%)    | 4 ( 2%)    |  |  |  |
| In window         | 3929 ( 87%) | 2727 ( 90%) | 999 ( 81%)  | 203 ( 85%) |  |  |  |
| Late              | 401 ( 9%)   | 192 ( 6%)   | 180 ( 15%)  | 29 ( 12%)  |  |  |  |
| No visit reported | 157 ( 3%)   | 114 ( 4%)   | 40 ( 3%)    | 3 ( 1%)    |  |  |  |
| Delinquent        | 1 ( 0%)     | 1 ( 0%)     | 0 ( 0%)     | 0 ( 0%)    |  |  |  |
| In window         | 55 ( 1%)    | 55 ( 2%)    | 0 ( 0%)     | 0 ( 0%)    |  |  |  |
| Missed visit      | 7 ( 0%)     | 7 ( 0%)     | 0 ( 0%)     | 0 ( 0%)    |  |  |  |
| Off study         | 94 ( 2%)    | 51 ( 2%)    | 40 ( 3%)    | 3 ( 1%)    |  |  |  |

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Table (above) reports on the status and timeliness of the entry visit for all enrolled participants. This is based on the Visit Report form (PH5801) and the participant's birthday. For the static cohort and reference cohort, the allowed visit window is the birthday +/-90 days.

For the dynamic cohort, the permitted visit window is from after birth up to two weeks. A visit is Delinquent if no visit has been reported, the visit window has passed, and an additional 2 weeks have passed (to allow time for submission of PH5801). This is a total of 4 weeks from an infant's birthdate. For those infants with no recorded birthdate, an estimated delivery date has been generated based on 43 weeks of gestation (or the visit date on PH5801).

Table 9: Bayley Screen for Three Year-Olds

|                          |                                            |             |             | Cohort      |            |
|--------------------------|--------------------------------------------|-------------|-------------|-------------|------------|
|                          |                                            | Total       | Dynamic     | Static      | Reference  |
| ND Assessment            |                                            | (N=1445)    | (N=1127)    | (N=275)     | (N=43)     |
| Cognitive                | At Risk for Devl Delay/Further Eval Needed | 55 (3.8%)   | 44 (3.9%)   | 11 (4.0%)   | 0 (0.0%)   |
|                          | Some Risk for Devl Delay                   | 741 (51.3%) | 585 (51.9%) | 135 (49.1%) | 21 (48.8%) |
|                          | Low Risk for Devl Delay                    | 649 (44.9%) | 498 (44.2%) | 129 (46.9%) | 22 (51.2%) |
| Receptive Communication  | At Risk for Devl Delay/Further Eval Needed | 38 (2.6%)   | 27 (2.4%)   | 11 (4.0%)   | 0 (0.0%)   |
|                          | Some Risk for Devl Delay                   | 537 (37.2%) | 426 (37.8%) | 97 (35.3%)  | 14 (32.6%) |
|                          | Low Risk for Devl Delay                    | 867 (60.0%) | 671 (59.5%) | 167 (60.7%) | 29 (67.4%) |
|                          | Not Done                                   | 3 (0.2%)    | 3 (0.3%)    | 0 (0.0%)    | 0 (0.0%)   |
| Expressive Communication | At Risk for Devl Delay/Further Eval Needed | 54 (3.7%)   | 45 (4.0%)   | 9 (3.3%)    | 0 (0.0%)   |
|                          | Some Risk for Devl Delay                   | 592 (41.0%) | 474 (42.1%) | 108 (39.3%) | 10 (23.3%) |
|                          | Low Risk for Devl Delay                    | 794 (54.9%) | 603 (53.5%) | 158 (57.5%) | 33 (76.7%) |
|                          | Not Done                                   | 5 (0.3%)    | 5 (0.4%)    | 0 (0.0%)    | 0 (0.0%)   |
| Fine Motor               | At Risk for Devl Delay/Further Eval Needed | 33 (2.3%)   | 25 (2.2%)   | 8 (2.9%)    | 0 (0.0%)   |
|                          | Some Risk for Devl Delay                   | 555 (38.4%) | 452 (40.1%) | 91 (33.1%)  | 12 (27.9%) |
|                          | Low Risk for Devl Delay                    | 854 (59.1%) | 647 (57.4%) | 176 (64.0%) | 31 (72.1%) |
|                          | Not Done                                   | 3 (0.2%)    | 3 (0.3%)    | 0 (0.0%)    | 0 (0.0%)   |
| Gross Motor              | At Risk for Devl Delay/Further Eval Needed | 29 (2.0%)   | 23 (2.0%)   | 6 (2.2%)    | 0 (0.0%)   |
|                          | Some Risk for Devl Delay                   | 446 (30.9%) | 361 (32.0%) | 78 (28.4%)  | 7 (16.3%)  |
|                          | Low Risk for Devl Delay                    | 966 (66.9%) | 739 (65.6%) | 191 (69.5%) | 36 (83.7%) |
|                          | Not Done                                   | 4 (0.3%)    | 4 (0.4%)    | 0 (0.0%)    | 0 (0.0%)   |

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Table 10: Age-specific ND Assessments

|                               |                                      |      |              |     | Cohort       |    |             |
|-------------------------------|--------------------------------------|------|--------------|-----|--------------|----|-------------|
|                               |                                      | D    | ynamic       |     | Static       | ı  | Reference   |
| ND Assessment                 | Score                                | N    | Mean (S.D)   | N   | Mean (S.D)   | N  | Mean (S.D)  |
| Bayley-III (1 year old)       | Cognitive, Composite                 | 1573 | 102.8 (14.2) | 146 | 102.9 (15.2) | 49 | 102.2 (14.0 |
|                               | Language, Composite                  | 1571 | 94.8 (13.6)  | 145 | 93.2 (14.7)  | 49 | 95.1 (12.5) |
|                               | Motor, Composite                     | 1563 | 97.3 (12.8)  | 145 | 96.9 (15.9)  | 49 | 100.9 (14.7 |
|                               | Social-Emotional, Composite          | 1529 | 101.6 (17.8) | 142 | 101.6 (18.5) | 49 | 100.5 (16.4 |
|                               | General Adaptive, Composite          | 1525 | 94.8 (14.4)  | 142 | 93.4 (15.0)  | 49 | 92.3 (11.3) |
| WPPSI (5 years old)           | Full Scale IQ                        | 805  | 94.4 (15.1)  | 445 | 94.6 (14.5)  | 47 | 93.6 (15.6) |
|                               | Performance IQ                       | 822  | 96.5 (15.4)  | 447 | 97.3 (15.2)  | 47 | 95.6 (15.3) |
|                               | Verbal IQ                            | 819  | 92.4 (14.2)  | 447 | 92.1 (12.9)  | 47 | 91.6 (13.9) |
|                               | Processing Speed IQ                  | 784  | 95.1 (15.4)  | 442 | 96.3 (15.9)  | 47 | 95.0 (15.4) |
|                               | General Language IQ                  | 486  | 92.9 (13.2)  | 210 | 91.0 (14.1)  | 19 | 91.1 (11.4) |
| WASI (7+ odd years old)*      | Full Scale IQ                        | 264  | 100.8 (15.4) | 781 | 97.9 (14.0)  | 48 | 100.8 (12.6 |
|                               | Performance IQ                       | 264  | 96.9 (13.4)  | 781 | 95.2 (13.1)  | 49 | 95.3 (13.0) |
|                               | Verbal IQ                            | 264  | 104.5 (18.5) | 781 | 101.0 (16.0) | 48 | 105.8 (14.2 |
| WIAT (7+ odd years old)*      | Word Reading, Standard Score         | 259  | 98.2 (18.0)  | 779 | 97.3 (16.1)  | 49 | 97.1 (15.0) |
|                               | Spelling, Standard Score             | 258  | 97.5 (18.8)  | 776 | 97.8 (16.5)  | 48 | 98.8 (15.8) |
|                               | Numerical Operations, Standard Score | 258  | 94.5 (17.3)  | 779 | 94.0 (16.6)  | 49 | 94.5 (15.5) |
| BRIEF-SR (11+ odd years old)* | Inhibit, T-Score                     | 0    |              | 136 | 48.6 (9.7)   | 0  |             |
|                               | Shift, T-Score                       | 0    |              | 136 | 52.7 (12.2)  | 0  |             |
|                               | Emotional Control, T-Score           | 0    |              | 136 | 50.7 (10.2)  | 0  |             |
|                               | Monitor, T-Score                     | 0    |              | 135 | 48.9 (10.5)  | 0  |             |
|                               | Behavioral Regulation Index, T-Score | 0    |              | 135 | 50.4 (11.4)  | 0  |             |
|                               | Working Memory, T-Score              | 0    |              | 136 | 50.9 (11.3)  | 0  |             |
|                               | Plan/Organize, T-Score               | 0    |              | 136 | 47.3 (10.4)  | 0  |             |
|                               | Organization of Materials, T-Score   | 0    |              | 136 | 48.4 (9.9)   | 0  |             |
|                               | Task Completion, T-Score             | 0    |              | 134 | 49.6 (10.1)  | 0  |             |
|                               | Metacognition Index, T-Score         | 0    |              | 135 | 48.9 (10.9)  | 0  |             |
|                               | Global Executive Composite, T-Score  | 0    |              | 135 | 49.6 (11.3)  | 0  |             |
|                               | Behavioral Shift, T-Score            | 0    |              | 134 | 51.7 (11.8)  | 0  |             |
|                               | Cognitive Shift, T-Score             | 0    |              | 134 | 52.6 (11.3)  | 0  |             |

<sup>\*</sup> WASI, WIAT AND BRIEF: For participants completing an assessment at more than one time point, the earliest assessment is used.

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Table 11: SMARTT Caregiver-Reported Hearing Information at Entry

|                                    |               |                   |                     | Cohort             |                      |
|------------------------------------|---------------|-------------------|---------------------|--------------------|----------------------|
| Characteristic                     |               | Total<br>(N=4346) | Dynamic<br>(N=2915) | Static<br>(N=1195) | Reference<br>(N=236) |
| Concerns about hearing?            | Yes           | 131 (3%)          | 45 (2%)             | 77 (6%)            | 9 (4%)               |
| •                                  | No            | 4,166 (96%)       | 2,829 (97%)         | 1,111 (93%)        | 226 (96%)            |
|                                    | Unknown       | 49 (1%)           | 41 (1%)             | 7 (1%)             | 1 (0%)               |
| Child has repeated ear infections? | Yes           | 124 (3%)          | 0 (0%)              | 102 (9%)           | 22 (9%)              |
|                                    | No            | 4,173 (96%)       | 2,874 (99%)         | 1,086 (91%)        | 213 (90%)            |
|                                    | Unknown       | 49 (1%)           | 41 (1%)             | 7 (1%)             | 1 (0%)               |
| Child failed hearing screening?    | Yes           | 148 (3%)          | 72 (2%)             | 65 (5%)            | 11 (5%)              |
|                                    | No            | 4,149 (95%)       | 2,802 (96%)         | 1,123 (94%)        | 224 (95%)            |
|                                    | Unknown       | 49 (1%)           | 41 (1%)             | 7 (1%)             | 1 (0%)               |
| Child tested by audiologist?       | Yes           | 1,161 (27%)       | 762 (26%)           | 349 (29%)          | 50 (21%)             |
|                                    | No            | 3,136 (72%)       | 2,112 (72%)         | 839 (70%)          | 185 (78%)            |
|                                    | Unknown       | 49 (1%)           | 41 (1%)             | 7 (1%)             | 1 (0%)               |
| Perm hearing loss in 1-2 ears?     | Yes           | 8 (0%)            | 1 (0%)              | 7 (1%)             | 0 (0%)               |
|                                    | No            | 1,152 (27%)       | 760 (26%)           | 342 (29%)          | 50 (21%)             |
|                                    | Test not done | 3,136 (72%)       | 2,112 (72%)         | 839 (70%)          | 185 (78%)            |
|                                    | Unknown       | 50 (1%)           | 42 (1%)             | 7 (1%)             | 1 (0%)               |

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Table 12: SMARTT Age-Specific Language Assessments

|                    |                          | D     | ynamic      |       | Static      | R     | eference     |
|--------------------|--------------------------|-------|-------------|-------|-------------|-------|--------------|
| Language           |                          | Total | Mean        | Total | Mean        | Total |              |
| Assessment         |                          | N     | (S.D.)      | N     | (S.D.)      | N     | Mean (S.D.)  |
| CDI (1 year old)   | Phrases Understood       | 1755  | 56.6 (27.5) | 169   | 50.4 (27.8) | 47    | 52.6 (27.8)  |
|                    | Vocabulary Comprehension | 1755  | 47.2 (30)   | 169   | 43.6 (29.7) | 47    | 44.7 (31.1)  |
|                    | Words Production         | 1755  | 49.3 (21.7) | 169   | 45 (21.2)   | 47    | 56.3 (20)    |
|                    | A-E Total Gestures       | 1755  | 49.8 (28.3) | 169   | 40.6 (28.8) | 47    | 55.9 (30.9)  |
| ASQ (2 years old)  | Total Score              | 1714  | 44.1 (15.5) | 269   | 45.1 (15.7) |       | . (.)        |
| TELD (3 years old) | Receptive Language       | 37    | 98.9 (16)   | 286   | 98.8 (14.4) | 37    | 97.8 (11.1)  |
|                    | Expressive Language      | 37    | 98.9 (13.3) | 286   | 99.6 (14.7) | 37    | 102.6 (10.9) |
|                    | Spoken Language Quotient | 37    | 95.6 (22.6) | 286   | 99.5 (17.4) | 37    | 98.1 (19.8)  |
| TOLD (5 years old) | Spoken Language          | 745   | 91.8 (14.6) | 424   | 90.1 (14.1) | 45    | 87.9 (14.3)  |
|                    | Listening                | 747   | 97.1 (14.3) | 424   | 94.6 (13.6) | 45    | 92 (13.4)    |
|                    | Speaking                 | 746   | 92 (15.1)   | 424   | 91.6 (13.9) | 45    | 90.2 (13.6)  |
|                    | Syntax                   | 745   | 89.1 (14.3) | 424   | 89.4 (14.5) | 45    | 89 (13.7)    |

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**Table 13: SMARTT Growth Data at Entry** 

|                             | Dynamic |       |      | Static |      | Reference |     |      |      |
|-----------------------------|---------|-------|------|--------|------|-----------|-----|------|------|
| Score                       | N       | Mean  | S.D. | N      | Mean | S.D.      | N   | Mean | S.D. |
| Height or Length Z-score    | 2891    | -0.12 | 1.04 | 1191   | 0.31 | 1.13      | 235 | 0.34 | 1.15 |
| Weight Z-score              | 2895    | -0.56 | 0.86 | 1193   | 0.51 | 1.25      | 235 | 0.20 | 1.21 |
| BMI Z-score (≥ 2 years old) |         |       |      | 844    | 0.63 | 1.30      | 172 | 0.08 | 1.56 |

BMI is not calculated for children < 2 years.

Z-scores for premature participants are adjusted for newborn and 1-year olds.

Extreme growth Z-scores (> 6 in absolute values) were excluded from calculations and will be queried.

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Table 14: Maternal Substance Use During Pregnancy in SMARTT Dynamic Cohort

|                      |         |             | Trim         | ester       |             |
|----------------------|---------|-------------|--------------|-------------|-------------|
|                      |         | Any         |              |             |             |
|                      |         | Trimester   | First        | Second      | Third       |
|                      |         | (N=2858)    | (N=2858)     | (N=2858)    | (N=2858)    |
| Any Substance Use    | Yes     | 844 (30%)   | 729 (26%)    | 469 (16%)   | 436 (15%)   |
|                      | No      | 2,012 (70%) | 2,127 (74%)  | 2,387 (84%) | 2,420 (85%) |
|                      | Unknown | 2 (0%)      | 2 (0%)       | 2 (0%)      | 2 (0%)      |
| Tobacco              | Yes     | 511 (18%)   | 479 (17%)    | 319 (11%)   | 273 (10%)   |
|                      | No      | 2,345 (82%) | 2,366 (83%)  | 2,526 (88%) | 2,572 (90%) |
|                      | Unknown | 2 (0%)      | 13 (0%)      | 13 (0%)     | 13 (0%)     |
| Alcohol              | Yes     | 268 (9%)    | 241 (8%)     | 71 (2%)     | 52 (2%)     |
|                      | No      | 2,588 (91%) | 2,613 (91%)  | 2,783 (97%) | 2,802 (98%) |
|                      | Unknown | 2 (0%)      | 4 (0%)       | 4 (0%)      | 4 (0%)      |
| Marijuana            | Yes     | 266 (9%)    | 235 (8%)     | 119 (4%)    | 89 (3%)     |
|                      | No      | 2,590 (91%) | 2,617 (92%)  | 2,733 (96%) | 2,763 (97%) |
|                      | Unknown | 2 (0%)      | 6 (0%)       | 6 (0%)      | 6 (0%)      |
| Cocaine              | Yes     | 65 (2%)     | 53 (2%)      | 36 (1%)     | 26 (1%)     |
|                      | No      | 2,791 (98%) | 2,803 (98%)  | 2,820 (99%) | 2,830 (99%) |
|                      | Unknown | 2 (0%)      | 2 (0%)       | 2 (0%)      | 2 (0%)      |
| Antidepressants      | Yes     | 118 (4%)    | 77 (3%)      | 58 (2%)     | 63 (2%)     |
|                      | No      | 2,736 (96%) | 2,777 (97%)  | 2,796 (98%) | 2,791 (98%) |
|                      | Unknown | 4 (0%)      | 4 (0%)       | 4 (0%)      | 4 (0%)      |
| Pain Medications     | Yes     | 130 (5%)    | 54 (2%)      | 65 (2%)     | 62 (2%)     |
|                      | No      | 2,724 (95%) | 2,800 (98%)  | 2,789 (98%) | 2,792 (98%) |
|                      | Unknown | 4 (0%)      | 4 (0%)       | 4 (0%)      | 4 (0%)      |
| Methadone            | Yes     | 18 (1%)     | 15 (1%)      | 17 (1%)     | 18 (1%)     |
|                      | No      | 2,835 (99%) | ` '          | ` '         | ` '         |
|                      | Unknown | 5 (0%)      | 3 (0%)       | 3 (0%)      | 3 (0%)      |
| Heroin               | Yes     | 14 (0%)     | 11 (0%)      | 6 (0%)      | 5 (0%)      |
|                      | No      | ` '         | 2,844 (100%) | ` ,         | . ,         |
|                      | Unknown | 3 (0%)      | 3 (0%)       | 3 (0%)      | 3 (0%)      |
| Sedative             | Yes     | 14 (0%)     | 9 (0%)       | 7 (0%)      | 6 (0%)      |
| _ 3                  | No      | , ,         | 2,846 (100%) | . ,         | . ,         |
|                      | Unknown | 3 (0%)      | 3 (0%)       | 3 (0%)      | 3 (0%)      |
| Methamphetamines     | Yes     | 16 (1%)     |              |             | 4 (0%)      |
| wouldinplictallilles | No      | ` ,         | 2,841 (99%)  |             | , ,         |
|                      | Unknown | 2,840 (99%) | 2 (0%)       | 2 (0%)      | 2 (0%)      |
|                      | CHRIDWH | 2 (0/0)     | £ (U/0)      | £ (U /0)    | £ (U/0)     |

|                     |         |                              | Trim              | ester              |                   |
|---------------------|---------|------------------------------|-------------------|--------------------|-------------------|
|                     |         | Any<br>Trimester<br>(N=2858) | First<br>(N=2858) | Second<br>(N=2858) | Third<br>(N=2858) |
| Ecstasy             | Yes     | 3 (0%)                       | 3 (0%)            | 0 (0%)             | 0 (0%)            |
|                     | No      | 2,853 (100%)                 | 2,853 (100%)      | 2,856 (100%)       | 2,856 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |
| PCP                 | Yes     | 2 (0%)                       | 2 (0%)            | 1 (0%)             | 1 (0%)            |
|                     | No      | 2,853 (100%)                 | 2,853 (100%)      | 2,854 (100%)       | 2,854 (100%)      |
|                     | Unknown | 3 (0%)                       | 3 (0%)            | 3 (0%)             | 3 (0%)            |
| Opium               | Yes     | 4 (0%)                       | 2 (0%)            | 3 (0%)             | 3 (0%)            |
|                     | No      | 2,852 (100%)                 | 2,854 (100%)      | 2,853 (100%)       | 2,853 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |
| Other Drug          | Yes     | 17 (1%)                      | 11 (0%)           | 8 (0%)             | 7 (0%)            |
|                     | No      | 2,838 (99%)                  | 2,844 (100%)      | 2,847 (100%)       | 2,848 (100%)      |
|                     | Unknown | 3 (0%)                       | 3 (0%)            | 3 (0%)             | 3 (0%)            |
| Stimulants          | Yes     | 7 (0%)                       | 5 (0%)            | 0 (0%)             | 0 (0%)            |
|                     | No      | 2,849 (100%)                 | 2,851 (100%)      | 2,856 (100%)       | 2,856 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |
| Barbiturates        | Yes     | 1 (0%)                       | 1 (0%)            | 0 (0%)             | 0 (0%)            |
|                     | No      | 2,855 (100%)                 | 2,855 (100%)      | 2,856 (100%)       | 2,856 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |
| Amphetamines        | Yes     | 2 (0%)                       | 1 (0%)            | 0 (0%)             | 0 (0%)            |
|                     | No      | 2,854 (100%)                 | 2,855 (100%)      | 2,856 (100%)       | 2,856 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |
| Inhalants           | Yes     | 1 (0%)                       | 1 (0%)            | 1 (0%)             | 0 (0%)            |
|                     | No      | 2,855 (100%)                 | 2,855 (100%)      | 2,855 (100%)       | 2,856 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |
| LSD                 | No      | 2,853 (100%)                 | 2,855 (100%)      | 2,855 (100%)       | 2,855 (100%)      |
|                     | Unknown | 5 (0%)                       | 3 (0%)            | 3 (0%)             | 3 (0%)            |
| Other Hallucinogens | Yes     | 2 (0%)                       | 2 (0%)            | 1 (0%)             | 0 (0%)            |
|                     | No      | 2,851 (100%)                 | 2,852 (100%)      | 2,853 (100%)       | 2,854 (100%)      |
|                     | Unknown | 5 (0%)                       | 4 (0%)            | 4 (0%)             | 4 (0%)            |
| Ketamine            | No      | 2,856 (100%)                 | 2,856 (100%)      | 2,856 (100%)       | 2,856 (100%)      |
|                     | Unknown | 2 (0%)                       | 2 (0%)            | 2 (0%)             | 2 (0%)            |

All Dynamic children including those from multiple gestations (twins/triplets, etc) are included in above table. Created by: /home/phacs/actgPH100/monitoring/detlprogs/matsubst.sas on April 17, 2019

**Table 15: Maternal Substance Use During Pregnancy in SMARTT Static Cohort** 

|                   |         | Trimester                 |                   |                    |                   |  |
|-------------------|---------|---------------------------|-------------------|--------------------|-------------------|--|
|                   |         | Any Trimester<br>(N=1034) | First<br>(N=1034) | Second<br>(N=1034) | Third<br>(N=1034) |  |
| Any Substance Use | Yes     | 274 (26%)                 | 242 (23%)         | 171 (17%)          | 138 (13%)         |  |
|                   | No      | 760 (74%)                 | 792 (77%)         | 863 (83%)          | 896 (87%)         |  |
| Tobacco           | Yes     | 197 (19%)                 | 185 (18%)         | 132 (13%)          | 108 (10%)         |  |
|                   | No      | 837 (81%)                 | 844 (82%)         | 897 (87%)          | 921 (89%)         |  |
|                   | Unknown | 0 (0%)                    | 5 (0%)            | 5 (0%)             | 5 (0%)            |  |
| Alcohol           | Yes     | 72 (7%)                   | 63 (6%)           | 27 (3%)            | 15 (1%)           |  |
|                   | No      | 962 (93%)                 | 969 (94%)         | 1,005 (97%)        | 1,017 (98%)       |  |
|                   | Unknown | 0 (0%)                    | 2 (0%)            | 2 (0%)             | 2 (0%)            |  |
| Marijuana         | Yes     | 66 (6%)                   | 60 (6%)           | 40 (4%)            | 26 (3%)           |  |
|                   | No      | 968 (94%)                 | 973 (94%)         | 993 (96%)          | 1,007 (97%)       |  |
|                   | Unknown | 0 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
| Cocaine           | Yes     | 26 (3%)                   | 21 (2%)           | 12 (1%)            | 5 (0%)            |  |
|                   | No      | 1,008 (97%)               | 1,012 (98%)       | 1,021 (99%)        | 1,028 (99%)       |  |
|                   | Unknown | 0 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
| Antidepressants   | Yes     | 26 (3%)                   | 18 (2%)           | 16 (2%)            | 16 (2%)           |  |
|                   | No      | 1,008 (97%)               | 1,013 (98%)       | 1,015 (98%)        | 1,015 (98%)       |  |
|                   | Unknown | 0 (0%)                    | 3 (0%)            | 3 (0%)             | 3 (0%)            |  |
| Pain Medications  | Yes     | 33 (3%)                   | 18 (2%)           | 14 (1%)            | 13 (1%)           |  |
|                   | No      | 1,001 (97%)               | 1,014 (98%)       | 1,018 (98%)        | 1,019 (99%)       |  |
|                   | Unknown | 0 (0%)                    | 2 (0%)            | 2 (0%)             | 2 (0%)            |  |
| Methadone         | Yes     | 14 (1%)                   | 14 (1%)           | 14 (1%)            | 13 (1%)           |  |
|                   | No      | 1,019 (99%)               | 1,019 (99%)       | 1,019 (99%)        | 1,020 (99%)       |  |
|                   | Unknown | 1 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
| Heroin            | Yes     | 7 (1%)                    | 5 (0%)            | 4 (0%)             | 4 (0%)            |  |
|                   | No      | 1,026 (99%)               | 1,027 (99%)       | 1,028 (99%)        | 1,028 (99%)       |  |
|                   | Unknown | 1 (0%)                    | 2 (0%)            | 2 (0%)             | 2 (0%)            |  |
| Sedative          | Yes     | 7 (1%)                    | 5 (0%)            | 4 (0%)             | 2 (0%)            |  |
|                   | No      | 1,027 (99%)               | 1,029 (100%)      | 1,030 (100%)       | 1,032 (100%)      |  |
| Methamphetamines  | Yes     | 4 (0%)                    | 4 (0%)            | 0 (0%)             | 0 (0%)            |  |
|                   | No      | 1,030 (100%)              | 1,030 (100%)      | 1,034 (100%)       | 1,034 (100%)      |  |
| Ecstasy           | Yes     | 2 (0%)                    | 1 (0%)            | 1 (0%)             | 0 (0%)            |  |

| _                   |         | Trimester                 |                   |                    |                   |  |
|---------------------|---------|---------------------------|-------------------|--------------------|-------------------|--|
|                     |         | Any Trimester<br>(N=1034) | First<br>(N=1034) | Second<br>(N=1034) | Third<br>(N=1034) |  |
|                     | No      | 1,032 (100%)              | 1,033 (100%)      | 1,033 (100%)       | 1,034 (100%)      |  |
| PCP                 | Yes     | 1 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
|                     | No      | 1,031 (100%)              | 1,031 (100%)      | 1,031 (100%)       | 1,031 (100%)      |  |
|                     | Unknown | 2 (0%)                    | 2 (0%)            | 2 (0%)             | 2 (0%)            |  |
| Opium               | Yes     | 1 (0%)                    | 1 (0%)            | 0 (0%)             | 0 (0%)            |  |
|                     | No      | 1,033 (100%)              | 1,033 (100%)      | 1,034 (100%)       | 1,034 (100%)      |  |
| Other Drug          | Yes     | 5 (0%)                    | 3 (0%)            | 2 (0%)             | 2 (0%)            |  |
|                     | No      | 1,029 (100%)              | 1,031 (100%)      | 1,032 (100%)       | 1,032 (100%)      |  |
| Stimulants          | No      | 1,033 (100%)              | 1,033 (100%)      | 1,033 (100%)       | 1,033 (100%)      |  |
|                     | Unknown | 1 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
| Barbiturates        | Yes     | 5 (0%)                    | 3 (0%)            | 2 (0%)             | 2 (0%)            |  |
|                     | No      | 1,029 (100%)              | 1,031 (100%)      | 1,032 (100%)       | 1,032 (100%)      |  |
| Amphetamines        | No      | 1,034 (100%)              | 1,034 (100%)      | 1,034 (100%)       | 1,034 (100%)      |  |
| Inhalants           | Yes     | 1 (0%)                    | 1 (0%)            | 0 (0%)             | 0 (0%)            |  |
|                     | No      | 1,032 (100%)              | 1,032 (100%)      | 1,033 (100%)       | 1,033 (100%)      |  |
|                     | Unknown | 1 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
| LSD                 | Yes     | 1 (0%)                    | 1 (0%)            | 0 (0%)             | 0 (0%)            |  |
|                     | No      | 1,032 (100%)              | 1,032 (100%)      | 1,033 (100%)       | 1,033 (100%)      |  |
|                     | Unknown | 1 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |
| Other Hallucinogens | No      | 1,034 (100%)              | 1,034 (100%)      | 1,034 (100%)       | 1,034 (100%)      |  |
| Ketamine            | No      | 1,033 (100%)              | 1,033 (100%)      | 1,033 (100%)       | 1,033 (100%)      |  |
|                     | Unknown | 1 (0%)                    | 1 (0%)            | 1 (0%)             | 1 (0%)            |  |

All Static children including those from multiple gestations (twins/triplets, etc) are included in above table.

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Table 16: Maternal ARV Exposure by Birth Year, Static and Dynamic Cohorts Combined

| Year of              |                      | During                | At labor and            | No ARV<br>during<br>pregnancy,<br>labor or | Unknown<br>ARV use<br>during | Total * |
|----------------------|----------------------|-----------------------|-------------------------|--------------------------------------------|------------------------------|---------|
| <b>birth</b><br>1995 | At conception 0 (0%) | pregnancy<br>6 (100%) | delivery only<br>0 (0%) | <b>delivery</b><br>0 (0%)                  | pregnancy<br>0 (0%)          | 6       |
| 1996                 | 2 (5%)               | 33 (89%)              | 2 (5%)                  | 2 (5%)                                     | 0 (0%)                       | 37      |
| 1997                 | 3 (10%)              | 27 (90%)              | 1 (3%)                  | 2 (7%)                                     | 0 (0%)                       | 30      |
| 1998                 | 10 (19%)             | 48 (92%)              | 2 (4%)                  | 0 (0%)                                     | 2 (4%)                       | 52      |
| 1990                 | 15 (21%)             | 66 (93%)              | 2 (4%)                  | 2 (3%)                                     | 1 (1%)                       | 71      |
|                      | , ,                  |                       |                         |                                            |                              |         |
| 2000                 | 21 (23%)             | 87 (94%)              | 1 (1%)                  | 3 (3%)                                     | 2 (2%)                       | 93      |
| 2001                 | 24 (24%)             | 91 (89%)              | 5 (5%)                  | 4 (4%)                                     | 2 (2%)                       | 102     |
| 2002                 | 35 (34%)             | 98 (94%)              | 0 (0%)                  | 2 (2%)                                     | 4 (4%)                       | 104     |
| 2003                 | 35 (28%)             | 117 (95%)             | 0 (0%)                  | 2 (2%)                                     | 4 (3%)                       | 123     |
| 2004                 | 43 (37%)             | 108 (93%)             | 4 (3%)                  | 3 (3%)                                     | 1 (1%)                       | 116     |
| 2005                 | 45 (35%)             | 123 (97%)             | 0 (0%)                  | 2 (2%)                                     | 2 (2%)                       | 127     |
| 2006                 | 48 (30%)             | 153 (95%)             | 3 (2%)                  | 3 (2%)                                     | 2 (1%)                       | 161     |
| 2007                 | 70 (30%)             | 223 (94%)             | 6 (3%)                  | 1 (0%)                                     | 6 (3%)                       | 236     |
| 2008                 | 97 (27%)             | 337 (95%)             | 8 (2%)                  | 2 (1%)                                     | 7 (2%)                       | 354     |
| 2009                 | 102 (32%)            | 314 (98%)             | 1 (0%)                  | 2 (1%)                                     | 5 (2%)                       | 322     |
| 2010                 | 84 (32%)             | 258 (98%)             | 2 (1%)                  | 0 (0%)                                     | 3 (1%)                       | 263     |
| 2011                 | 96 (37%)             | 259 (99%)             | 1 (0%)                  | 0 (0%)                                     | 2 (1%)                       | 262     |
| 2012                 | 98 (42%)             | 228 (97%)             | 1 (0%)                  | 1 (0%)                                     | 5 (2%)                       | 235     |
| 2013                 | 107 (41%)            | 255 (97%)             | 0 (0%)                  | 2 (1%)                                     | 7 (3%)                       | 264     |
| 2014                 | 111 (44%)            | 238 (93%)             | 1 (0%)                  | 3 (1%)                                     | 13 (5%)                      | 255     |
| 2015                 | 120 (48%)            | 248 (99%)             | 1 (0%)                  | 0 (0%)                                     | 2 (1%)                       | 251     |
| 2016                 | 123 (55%)            | 223 (99%)             | 2 (1%)                  | 0 (0%)                                     | 0 (0%)                       | 225     |
| 2017                 | 98 (52%)             | 185 (98%)             | 3 (2%)                  | 0 (0%)                                     | 1 (1%)                       | 189     |
| 2018                 | 100 (49%)            | 196 (97%)             | 1 (0%)                  | 0 (0%)                                     | 6 (3%)                       | 203     |
| Total                | 1487 (36%)           | 3921 (96%)            | 47 (1%)                 | 36 (1%)                                    | 77 (2%)                      | 4081    |

Includes participants who were born at least three months prior to current data download. Conception time was defined as 14 days after the first date of the last menstrual period.

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<sup>\*</sup> Total = Sum of number of participants who received ARVs during pregnancy, at labor and delivery only, never during pregnancy/labor/delivery, and those with unknown ARV use during pregnancy.

100% 80% Percent exposed 60% 40% 20% 0% 100 100 119 115 30 125 160 230 347 317 260 260 230 257 249 225 188 197 70 91 1995 2000 2005 2010 2015 Year of birth Any NRTI Abacavir Zidovudine Stavudine Lamivudine Didanosine Tenofovir Disoproxil Fumarate -Zalcitabine Tenofovir Alafenamide Fumarate Emtricitabine

Figure 1: In Utero NRTI Exposures by Year of Birth

Number above the x-axis represents the number of children with in utero ARV exposure data available

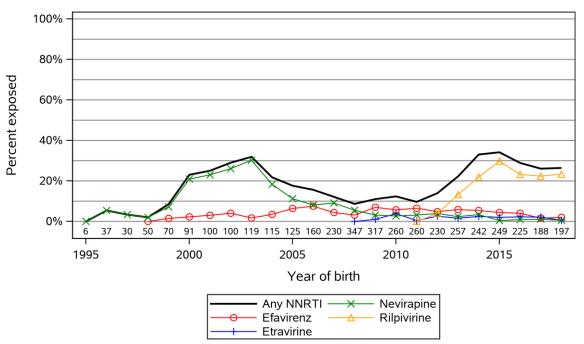


Figure 2: In Utero NNRTI Exposures by Year of Birth

Number above the x-axis represents the number of children with in utero ARV exposure data available

100% 80% Percent exposed 60% 40% 20% 0% 50 70 100 100 119 115 125 160 230 347 317 260 260 230 257 242 249 225 188 197 30 91 1995 2000 2005 2010 2015 Year of birth Any PI Darunavir Timpranivir Lopinavir/r Indinavir Saquinavir RTV w/o another PI Nelfinavir Amprenavir Fosamprenavir RTV with another PI

Figure 3: In Utero PI Exposures by Year of Birth

Number above the x-axis represents the number of children with in utero ARV exposure data available

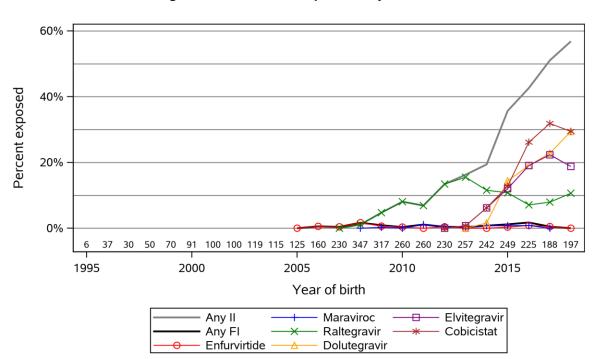
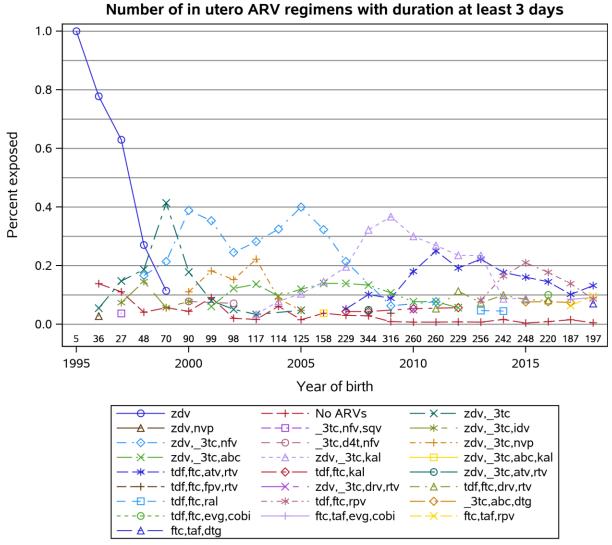


Figure 4: In Utero FI/II Exposures by Year of Birth

Number above the x-axis represents the number of children with in utero ARV exposure data available

Figure 5: Five Most Common in Utero ARV Regimens by Year of Birth



Number above the x-axis represents the number of children with detailed timing of in utero ARV exposure available

Figures 1 to 5 were created by: /home/phacs/actgPH100/monitoring/detlprogs/arvfigs.sas